



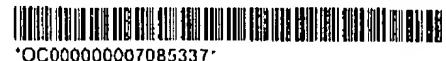
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,382	10/09/2001	Warren Heston	1X6230

CONFIRMATION NO. 5861

FORMALITIES LETTER



OC000000007085337

Benjamin Aaron Adler
ADLER & ASSOCIATES
8011 Candle Lane
Houston, TX 77071

Date Mailed: 11/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

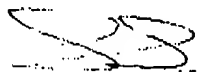
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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Page 2 of 2

A copy of this notice MUST be returned with the reply.



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY

Applicant: Nestor, W. & O'Keefe, O Atty: BAA
Serial No: 09/973,382 Docket No.: 06230 Date: 1/4/02

<input type="checkbox"/> Provisional Patent Application w/coversheet	<input type="checkbox"/> Petition for Extension of Time [] month(s)
<input checked="" type="checkbox"/> Sequence Listing w/ Compliance Letter	<input type="checkbox"/> withcheck for \$
<input type="checkbox"/> Application for Patent including _____pages spec. claims	<input checked="" type="checkbox"/> Response to Notice to File Missing Parts
<input type="checkbox"/> Request for filing [] Continuation [] Divisional	<input type="checkbox"/> Response to Office Action [] RAP
<input type="checkbox"/> Drawings: _____sheets [] informal [] formal	<input type="checkbox"/> Priority Document
<input type="checkbox"/> Check for \$	<input checked="" type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certificate of Mailing 1.10 Express No. _____	<input checked="" type="checkbox"/> PTO form 1449
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<input type="checkbox"/> Transmittal letter	<input type="checkbox"/> Appeal Brief _____pages
<input type="checkbox"/> Verified Small Entity Status form	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney	<input type="checkbox"/> Power of Attorney by assignee
<input type="checkbox"/> Assignment, w/check for \$	<input type="checkbox"/> Affidavit or [] Declaration [] Oath
	<input type="checkbox"/> Application for Intent-to-use TM/SM
<input checked="" type="checkbox"/> Postcard	<input type="checkbox"/> Application for TM/SM Reg. w/specimen
	<input type="checkbox"/> Other _____

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APPLICANT: Heston, W. & O'Keefe, D.	§	ART UNIT:
	§	
FILED: October 9, 2001	§	
	§	EXAMINER:
SERIAL NO.: 09/973,382	§	
	§	
FOR: DNA Encoding the Prostate	§	
Specific Membrane Antigen-Like	§	DOCKET:
Gene and Uses Thereof	§	D 6230

The Honorable Commissioner of Patents and Trademarks
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Washington, DC 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Dear Sir:

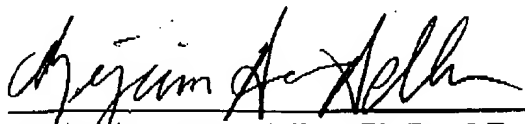
I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to Commissioner of Patents and Trademarks, Washington DC 20231.

- (1) Response and Amendment;
- (2) Sequence Listing, Compliance Letter, & CRF Disk;
- (3) Two (2) Combined Declarations and Powers of Attorney; and
- (2) Return Postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date: Jan 7, 2002
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